

PARTICIPANT INFORMATION

Company Legal Name: _____

Name of Business, if different: _____

Contact Person: _____ Title: _____

Mailing Address: _____

City, State, Zip: _____

Cell/Tel: _____ Fax: _____ Email: _____

TO APPLY for compressed air incentives from Energy Trust of Oregon, please follow these steps within 90 days of purchase:

- 1) Complete this application and sign side 2.
- 2) Attach copies of invoices or receipts.
- 3) Attach evidence of equipment eligibility such as a cut sheet showing make and model number, capacity, and other characteristics in the qualifying criteria below (if not already included on invoice).
- 4) Fax, email, or send to the address below.

ELECTRIC UTILITY:

Pacific Power PGE

Electric Utility Account Number: _____

FACILITY INFORMATION

Address of Installed Equipment, if different: _____ County: _____

City, State, Zip: _____ Hours per week system is pressurized: _____

Industry Type: _____ # employees: _____

REASON FOR PURCHASE: (check one)

- Replace existing working equipment
- Replace existing failed equipment
- New equipment in existing facility
- New equipment in new facility

Total horsepower of compressors in affected system: _____

QUALIFYING CRITERIA are listed below. To confirm eligibility, review and check off the criteria under each measure for which an incentive is desired. Equipment must be installed at a facility in Oregon served by Pacific Power or Portland General Electric. SCFM ratings given below are taken at standard conditions: 100 psig, 100°F inlet air temperature.

Zero-Loss Condensate Drains

- Drain is designed to function without release of compressed air to the atmosphere.
- Both new installations and replacement of existing drains are eligible and encouraged.

Cycling Refrigerated Dryers

- Rated capacity of dryer is 500 scfm or less. (Dryers >500 scfm are eligible for incentives using the custom savings calculation approach.)
- Dryer operates exclusively in cycling mode. Dryer is not equipped with the ability to select between cycling and non-cycling modes.
- Refrigeration compressor in dryer cycles off during periods of reduced demand. (i.e. compressor doesn't simply go into a bypass or unload mode.)
- Either the drain integral to the dryer is of the zero-loss type or a zero-loss drain must accompany the sale of the dryer. Either way, the drain is eligible for the \$60 incentive above.

Additional Receiver Capacity

- Incentive applies only to receiver capacity in excess of 2 gallons per scfm of trim compressor capacity, with a limit of 10 gallons per scfm.
- Only available for systems in which the trim compressor uses either 1) load/unload control without inlet modulation; or 2) on/off control. Systems with a VFD or using variable displacement control on the trim compressor are not eligible.

Low Pressure-Drop Filters

- Filter is of deep-bed "mist eliminator" style, with element life of at least 5 years.
- Rated capacity of filter is 500 scfm or less. Filters >500 scfm are eligible for incentives using the custom savings calculation approach.
- Pressure loss at rated flow ≤1 psi when new, ≤3 psi at element change.
- Rated at 100% particle filtration at ≥3.0 microns, 99.98% at 0.1 to 3.0 microns, ≤5 ppm liquid carryover.

quantity = incentive

\$60 each x =

dryer scfm = incentive

\$1.50 per scfm rated capacity x =

gallons = incentive

\$2.50 per gallon above 2 gal/scfm x =

Example: compressor rated output 100 scfm at 100 psig. The first 2 gal/scfm = 200 gal receives no incentive. Every gallon beyond 200 gal does receive the incentive.

filter scfm = incentive

\$2.00 per scfm rated capacity x =

For program administrator use:

Project ID: _____	Self directing? (Y/N) _____
FastTrack ID: _____	Eligible to self direct? _____

Energy Trust of Oregon - Small Industrial
 5257 NE MLK Jr Blvd, Suite 301
 Portland, OR 97211
 smallindustrial@energytrust.org
 tel 503-928-3154 fax 503-287-8788

Total Incentive:

Please read and sign page 2 on reverse

TERMS AND CONDITIONS

Application Deadlines: Participant is advised to retain a copy of this application and any accompanying documentation submitted. Applications must be submitted to the Program within 90 days of the equipment purchase date. Regardless of date of purchase, no applications will be accepted after December 31, 2009. Neither Energy Trust nor its representatives will be responsible for any lost documentation pertaining to this application, or any lost or misdirected mail.

Eligibility: Program incentives are available to industrial, municipal and agricultural customers of Portland General Electric or Pacific Power in Oregon. In order to qualify for incentives, the equipment purchased must: (i) meet the required efficiency standards as set forth in this application, and (ii) be installed at the facility address listed. Final determination of eligibility rests solely with Energy Trust.

Incentives: Funds for incentives are limited and available on a first-come, first-served basis. Details of the Program, including incentive levels, are subject to change. Limited to one incentive per one equipment purchase.

Payment: Incentives will be paid upon: (i) submission of this completed application along with all required accompanying proof of purchase documentation, and (ii) satisfactory completion of a verification inspection, if required. Please allow 60 to 90 days from the Energy Trust's receipt of all information for delivery of payment. Failure to deliver all required documentation may result in delay or withholding of payment.

Proof of Purchase: Participant must submit all sales receipts, invoices, manufacturer specification sheets, and other pertinent documents itemizing the equipment purchased. The proof of purchase documentation submitted must show: (i) the date of purchase and itemized price paid, and (ii) size, type, make, and model or part number of equipment purchased. Participant will provide Energy Trust with additional proof of purchase information upon request.

Verification Inspection: Equipment installations may be selected for a verification inspection. Should a Participant's facility be chosen for a verification inspection, satisfactory completion of that inspection must occur before payment will be issued. This inspection is for the purpose of incentive payment only. No warranty is implied.

No Endorsement: Energy Trust and its representatives do not endorse any particular manufacturer, contractor or product in promoting the Program. The fact that the names of particular manufacturers, contractors, products, or systems may appear on this application does not constitute an endorsement. Manufacturers, contractors, products or systems not mentioned are not implied to be unsuitable or defective in any way.

Disclaimer of Warranties: ENERGY TRUST AND ITS REPRESENTATIVES MAKE NO GUARANTEE OR WARRANTY WHATSOEVER, WHETHER EXPRESS OR IMPLIED, WITH RESPECT TO THE EQUIPMENT. Participant assumes the risk of any loss or damage(s) that Participant may suffer in connection with the equipment. Energy Trust does not guarantee any particular energy savings results by its approval of this application, or by any other of its actions.

Release of Billing Data: Participant authorizes Energy Trust to access energy usage data for the accounts listed herein and will provide other reasonable assistance to Energy Trust to obtain such information.

Equipment Installation: Participant represents that: (i) it has the right to install the energy saving equipment on the property on which the equipment is installed and that any necessary consents have been obtained, and (ii) that all equipment installed and work performed complies with all federal, state, and local safety, building, and environmental codes, and any manufacturer instructions.

AGREEMENT

I hereby certify that all information supplied on this application is true and correct, that I have read the preceding Terms and Conditions and agree to be bound by them, that I have purchased and installed the equipment listed herein, and that I am duly authorized to enter into this Agreement as a representative of Participant.

Signature _____ Name (please print) _____ Date _____

Is the facility (i) certified, or would it likely qualify for certification, by the Oregon Department of Energy as eligible to self-direct, (ii) currently using self-direction credits against the conservation public purpose charge on its electric utility bill, or (iii) using 8,760,000 kilowatt hours or more annually? NO YES

If YES, please read the Self-Direction section in the Terms and Conditions above and then CHECK ONE box:

- OPTION 1:** Participant will be eligible to receive half of the standard incentive amount.
- OPTION 2:** Participant will be eligible to receive the full standard incentive amount, and understands that no self-direction credits can be used against the conservation public purpose charge for the facility for at least 36 months from Energy Trust's incentive payment date.

Request for Taxpayer Identification and Certification

Please check appropriate box:

- Individual or sole proprietor
- LLC, LLP, or partnership
- Corporation
- Nonprofit or government

Certification: Under penalties of perjury, I hereby certify that: 1) The number shown on this form is my correct taxpayer identification number, and 2) I am not subject to backup withholding, and 3) I am a U.S. citizen, resident alien, or other U.S. person.

Business Name (as shown on income tax return) _____

Federal Taxpayer ID Number (EIN) or SS# _____

Signature _____

Tax Liability: Energy Trust is not responsible for any tax liability which may be imposed on the Participant due to incentive payments. Incentive payments provided for the purchase of energy efficiency equipment may be considered taxable income, in whole or in part, by the IRS. Participants receiving payments of \$600 or more during a calendar year may receive an IRS form 1099 from Energy Trust. Energy Trust is not providing tax advice, and any communication by Energy Trust is not intended or written to be used, and cannot be used, for the purpose of avoiding penalties under the Internal Revenue Code.

Limitation of Liability: ENERGY TRUST'S LIABILITY IN CONNECTION WITH THIS APPLICATION SHALL BE LIMITED TO THE AMOUNT OF ANY INCENTIVE OWED. NEITHER ENERGY TRUST NOR ITS REPRESENTATIVES SHALL BE LIABLE TO PARTICIPANT OR TO ANY OTHER PARTY FOR DAMAGES OF ANY KIND, INCLUDING, BUT NOT LIMITED TO, GENERAL, SPECIAL, INCIDENTAL, CONSEQUENTIAL, OR PUNITIVE DAMAGES ARISING FROM OR ASSOCIATED WITH THIS APPLICATION.

Information Release: Participant agrees that Energy Trust may include Participant's name, services provided by Energy Trust, and resulting energy savings in reports and other documentation provided to the Energy Trust Board of Directors, Oregon Public Utilities Commission, Oregon Department of Energy, Oregon Housing & Community Services, and the Oregon Legislature. Energy Trust will treat all other Participant information as confidential and will report it only in aggregate.

Access and Evaluation: Energy Trust and/or its representatives may request access to the property for verification or evaluation purposes. Participant agrees to provide reasonable access to the property for the purposes described herein.

Self-Direction: Incentive funding for the program is subject to the Energy Trust's policy on self-direction. A complete copy of the policy is available on our website at www.energytrust.org. If this facility (i) has been, or may qualify to be, certified by the Oregon Department of Energy (ODOE) as eligible to self-direct, (ii) is currently using self-direction credits against the conservation public purpose charge on its electric utility bill, or (iii) uses more than 8,760,000 kilowatt hours of electricity annually, then Participant is or may be eligible to self-direct and will need to select from the options below. If Participant does not make a selection, Energy Trust will calculate the incentive at the full standard amount and Participant will be subject to the OPTION 2 requirements. Participant is not allowed to apply for self-direction credits for any energy efficiency equipment or measures that receive Energy Trust incentive funds. **OPTION 1:** Participant will continue to self-direct the conservation public purpose charge for the facility and will be eligible to receive half of the standard incentive amounts offered; **OR OPTION 2:** Participant will not self-direct the conservation public purpose charge for the facility for a period of 36 months from the date of the Energy Trust incentive payment and will be eligible to receive the full incentive amount. If Participant begins self-directing the public purpose charge during the 36 months, Participant must immediately notify Energy Trust and repay to Energy Trust a pro-rated repayment amount calculated as follows: Pro-Rated Refund Amount = 0.5 x A x B, where A = total amount of Energy Trust incentives paid; B = (36 minus the number of months elapsed since incentive payment date) divided by 36.

Governing Law: This agreement shall be exclusively governed by and construed in accordance with the laws of the state of Oregon, without regard to any conflicts of laws rules thereof.

Facsimile/Scanned Signatures: Facsimile transmission of an original document or an electronically transmitted scan of an original document shall be regarded as equivalent to the physical delivery of the original signed document. Upon request, Participant shall confirm document transmission by facsimile or electronic transfer by providing an original document.